

## Experiment Report Form

**The double page inside this form is to be filled in by all users or groups of users who have had access to beam time for measurements at the ESRF.**

Once completed, the report should be submitted electronically to the User Office using the **Electronic Report Submission Application:**

*<http://193.49.43.2:8080/smis/servlet/UserUtils?start>*

### ***Reports supporting requests for additional beam time***

Reports can now be submitted independently of new proposals – it is necessary simply to indicate the number of the report(s) supporting a new proposal on the proposal form.

The Review Committees reserve the right to reject new proposals from groups who have not reported on the use of beam time allocated previously.

### ***Reports on experiments relating to long term projects***

Proposers awarded beam time for a long term project are required to submit an interim report at the end of each year, irrespective of the number of shifts of beam time they have used.

### ***Published papers***

All users must give proper credit to ESRF staff members and proper mention to ESRF facilities which were essential for the results described in any ensuing publication. Further, they are obliged to send to the Joint ESRF/ ILL library the complete reference and the abstract of all papers appearing in print, and resulting from the use of the ESRF.

Should you wish to make more general comments on the experiment, please note them on the User Evaluation Form, and send both the Report and the Evaluation Form to the User Office.

### **Deadlines for submission of Experimental Reports**

- 1st March for experiments carried out up until June of the previous year;
- 1st September for experiments carried out up until January of the same year.

### **Instructions for preparing your Report**

- fill in a separate form for each project or series of measurements.
- type your report, in English.
- include the reference number of the proposal to which the report refers.
- make sure that the text, tables and figures fit into the space available.
- if your work is published or is in press, you may prefer to paste in the abstract, and add full reference details. If the abstract is in a language other than English, please include an English translation.



	<b>Experiment title:</b> X-ray investigation of Ge-nanocrystals in SiC	<b>Experiment number:</b> Me 309
<b>Beamline:</b> BM 20	<b>Date of experiment:</b> from: 10/02/2002 to: 14/02/2002	<b>Date of report:</b> 06/11/2002
<b>Shifts:</b> 12	<b>Local contact(s):</b> Andreas Bauer	<i>Received at ESRF:</i> <b>06/11/2002</b>
<b>Names and affiliations of applicants (* indicates experimentalists):</b> Dr. G. Heß, Dr. J. Kraeusslich*, DP B. Wunderlich*, DP F. Wunderlich*, Prof. K. Goetz Friedrich-Schiller-University of Jena Institute of Optics and Quantumelectronics Department of X-Ray Physics Max-Wien-Platz 1 07743 Jena / Germany		

**Report:** The aim of the experiment has been to characterize Ge-nanocrystals implanted in 4H-SiC(0001) substrates by means of high resolution x-ray diffraction methods (HRXRD). Special interests for the optoelectronic device developer are: preferred crystallographic orientation of the formed Ge-nanocrystals in respect to the substrate lattice, the size of the grown nanocrystals as well as the chemical phase of the Ge-nanocrystals.

### Samples

Ge<sup>+</sup>-ions with an energy of 250keV were implanted into 4H-SiC(0001) crystal wafers produced and supplied by Cree Research Inc. The implantation temperature was kept at 20°C and 700°C, respectively. The high implantation temperature was chosen to prevent amorphization of the crystals. The ion current density was less than 1  $\mu\text{Acm}^{-2}$ . Samples were tilted about 6°–8° to the ion beam to avoid channeling effects during implantation. The ion fluence of  $1 \times 10^{16} \text{cm}^{-2}$  we used causes a Ge-peak concentration of approximately 1 at.% within the projected ion range in the deepness of 105nm below the surface. Thermal annealing was carried out at temperatures up to 1600°C in Ar-atmosphere (pressure 20kPa) using a rapid thermal annealing machine working with the samples placed between two electrically heated graphite stripes. To prevent significant decomposition the annealing process was done face-to-face with an unimplanted SiC piece [1]. Figure 1 shows the corresponding cross section TEM image of a Ge-nanocrystal formed in the SiC matrix.

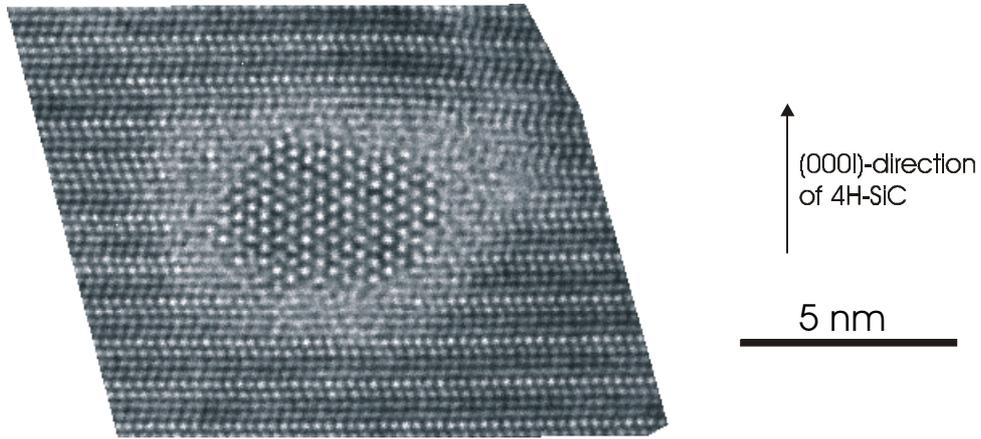


Fig. 1: High resolution TEM image of a Ge-nanocrystal formed after implanting and annealing at 1600°C in the 4H-SiC(0001) wafer

### Characterization

The x-ray diffraction experiments carried out at ROBL can be separated in two parts.

- Firstly, characterization of Ge-nanocrystals formed in SiC samples exposed to different process parameters

<i>Sample</i>	<i>34-0</i>	<i>34-1</i>	<i>34-3</i>	<i>46-2</i>	<i>45-1</i>	<i>45-2</i>	<i>34-2</i>	<i>46-1</i>
<b>Implantation at 700°C</b>	-	X	X	X	X	X	X	X
<b>Annealing temperature</b>	-	-	1400°C	1500°C	1600°C	1600°C	1600°C	1600°C
<b>Annealing time</b>	-	-	30s	30s	1s	30s	120s	360s

Tab. 1: The list of the measuring samples

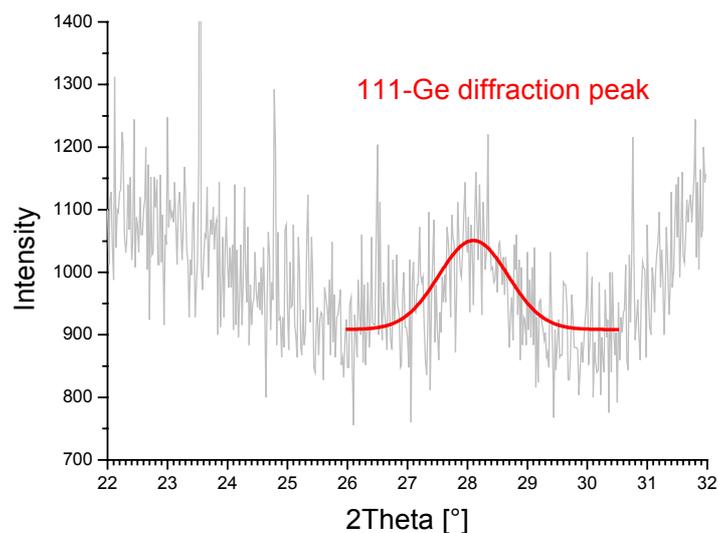


Fig. 2:  $\theta/2\theta$ -scan of the symmetric Ge-111-reflection, sample 34-2,  $\lambda=0,154685\text{nm}$

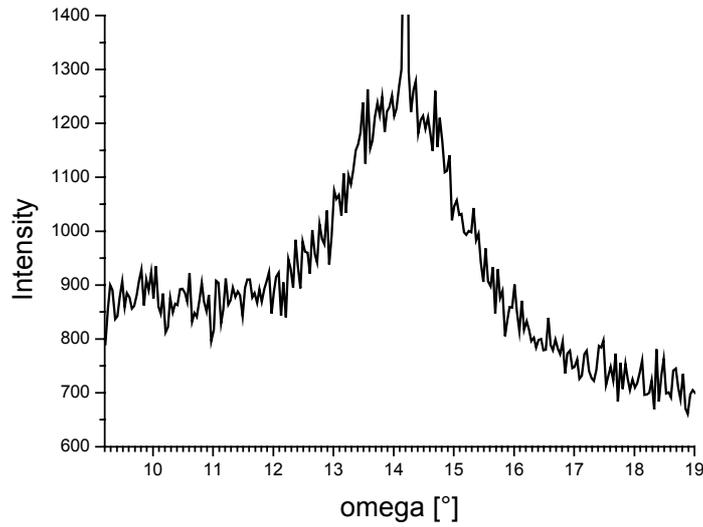


Fig. 3:  $\omega$ -scan of the symmetric Ge-111-reflection, sample 46-1,  $\lambda=0,154685\text{nm}$

Only samples which was annealing at  $1600^\circ\text{C}$  have been shown a 111-Ge diffraction peak. The occurrence of 111-Ge diffraction peaks (Fig. 2) in the XRD pattern of the annealed samples reveals the formation of crystallized Ge inside the SiC samples. The observed reflection broadening is caused by the crystallite size. Using Scherrer's formula the measured full width at half maximum  $(\text{FWHM})_{2\theta}$  results in to the crystallite size perpendicular to the wafer surface (see table 2). Reflection broadening in the  $\omega$ -scans (Fig. 3), carried out at the  $2\theta$  angle position of the 111-Ge reflection, is caused by the lateral crystallite size. The measured  $(\text{FWHM})_{\omega}$  is equivalent to a lateral crystallite size (see table 2). In-plane, the lateral crystallite size is rotation symmetric. We did not find any dependence on the lateral orientation angle  $\phi$ . It is obvious that the maximum of the 111-Ge diffraction peak is located at  $2\theta=28.2^\circ$ . Compared to the value for crystalline Ge ( $2\theta=27.3^\circ$ ) this means a significant shift of the Bragg angle toward the value for crystalline Si ( $2\theta=28.4^\circ$ ). We can discuss two possible reasons for this behavior. The Ge-nanocrystallitis could be added impurities of Si atoms. On the one hand, the XRD measurements cover a sample area of nearly  $1\text{mm}^2$  and for that a large volume of implanted SiC. The measurement represents an average of all crystallites in that area, including crystallites with different chemical composition. On the other hand, the shift of the Bragg angle can be caused by strain in the crystallites. The observed shift corresponds to a change of the lattice constant of  $\Delta a/a=3.1*10^{-2}$ .

Using Hook's formula, the hydrostatic pressure  $p$  inside the crystallites can be estimated to be  $7.8 * 10^8\text{Nm}^{-2}$ . In comparison with the in-plane strain of  $\sigma_1=\sigma_2=2.26*10^8\text{Nm}^{-2}$  in the case of 3C-SiC deposition on 6H-SiC. This seems to be a realistic value.

<i>Sample</i>	<i>45-2</i>	<i>34-2</i>	<i>46-1</i>
<b>Implantation at <math>700^\circ\text{C}</math> and annealing at <math>1600^\circ\text{C}</math></b>	X	X	X
<b>Annealing time</b>	30s	120s	360s
<b><math>(\text{FWHM})_{2\theta}</math></b>	$1,98^\circ$	$1,16^\circ$	$0,80^\circ$
<b>crystallite size perpendicular to the wafer surface</b>	4nm	7nm	10nm
<b><math>(\text{FWHM})_{\omega}</math></b>	$2,98^\circ$	$2,20^\circ$	$1,84^\circ$
<b>lateral crystallite size</b>	5nm	7nm	9nm

Tab. 2: The crystallite sizes lateral and perpendicular to the wafer surface of the Ge-nanocrystals formed after implanting and annealing at  $1600^\circ\text{C}$  in the 4H-SiC(0001) wafer

➤ **Secondly, characterization of the SiC substrates in the different stages of the nanocrystals-production-process**

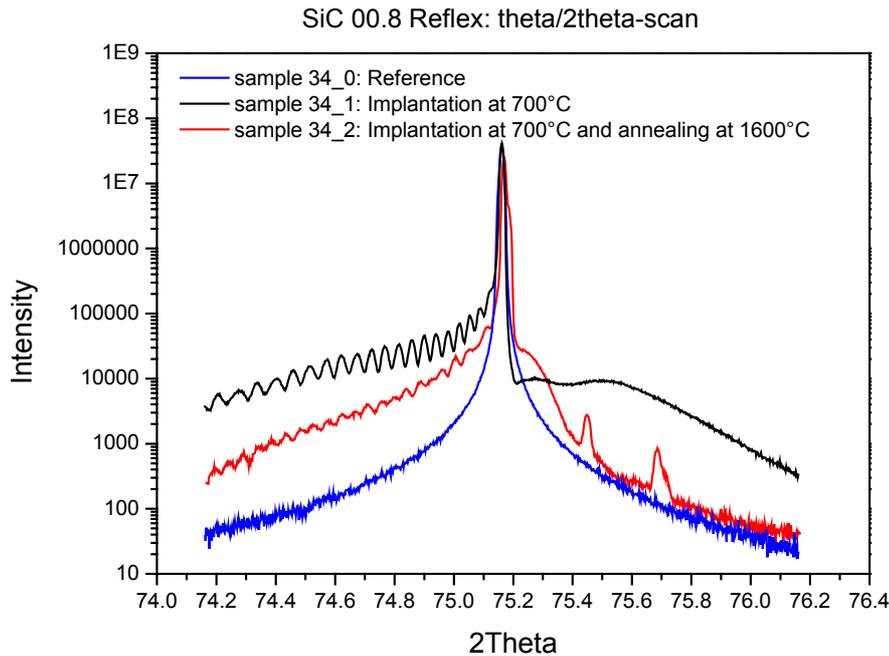


Fig. 4:  $\theta/2\theta$ -scan of the symmetric SiC-0008-reflection – three stages of the nanocrystals-production-process:

- (1) a untreated 4H-SiC(0001) bulk single crystal wafer (sample 34-0)
- (2) a sample after the Ge<sup>+</sup>-ions implantation with an energy of 250keV and a ion fluence of  $1 \cdot 10^{16} \text{cm}^{-2}$  at 700°C (sample 34-1)
- (3) a sample after the Ge<sup>+</sup>-ions implantation and thermal annealing at 1600°C in Ar-atmosphere (pressure 20kPa) for 120s (sample 34-2)

The implantation of Ge-atoms generates in the SiC lattice a strong disordered interlayer in a depth of 100nm and with a thickness of approx.10nm. The crystal area above the strong disordered interlayer is structural nearly undisturbed. The left side wing oscillation of the symmetric SiC-0008-reflection, as shown in Fig. 4, is caused by the interference effects of the diffracted x-ray beam due to the layer thickness above the buried strong disturbs interlayer. Hence, the depth of the interlayer can be determined using the oscillation period. The interference effect was reduced after the thermal annealing at 1600°C. This is understandable by formation of Ge-nanocrystals (see Fig. 1) and the strong disordered interlayer heals partially up.

The x-ray diffraction experiments have been very useful in characterization of the implanted and anneals Ge:SiC wafer. Additional investigations are required to clear up the observed reflection shift of the Ge-nanocrystals. Is this caused by any impurities or hydrostatic strain of the Ge-nanocrystals.

We thank all members of the ROBL beamline team, especially Dr. N. Schell and A. Bauer for the helpful support at the time of execution on this experiment.